



# Whistleblowing Policy

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## **1. Policy Summary and Guiding Principles**

### **Introduction**

- 1.1 This policy sets out the principles that enable you to raise concerns about a danger, risk, malpractice or wrongdoing that affects others, without fear of adverse consequences such as harassment or victimisation.
- 1.2 The Rodillian Multi-Academy Trust Board is committed to the highest standards of openness, probity and accountability. In line with that commitment, we encourage employees, who have serious and legitimate concerns about any aspect of the Trust's work, to come forward and voice those concerns through accessible channels rather than overlooking the issues or discussing them externally. Making your disclosure under this policy will enable the Trust to address any risks as early as possible
- 1.3 It is recognised that certain cases will have to proceed on a confidential basis. This policy sets out the principles that enable you to raise concerns about a danger, risk, malpractice or wrongdoing that affects others, without fear of adverse consequences.
- 1.4 In addition, the policy provides a means for you to raise a concern under the Public Interest Disclosure Act 1998, which provides you with a certain level of legal protection if you wish to raise legitimate concerns in the public interest.

### **Aims and scope of the Policy**

- 1.5 Aims
  - provide avenues for you to raise concerns and receive feedback on any action taken
  - allows you to take the matter further if you are dissatisfied with the Trust's response and
  - reassure you that you will be protected from reprisals or victimisation for whistleblowing in the public interest.
- 1.6 There are existing HR procedures in place to enable you to lodge a grievance relating to your own employment with the Trust. This Whistleblowing Policy is intended to cover concerns that fall outside the scope of other procedures, although the school reserves the right to determine which procedure is appropriate.

### **What is a concern?**

- 1.7 Concerns to be reported under this policy may relate to something which involves an issue in the public interest and which you have reasonable belief to be true, for example where:
  - a criminal offence has been committed, is being committed or is likely to be committed;

- a person has failed, is failing or is likely to fail to comply with any legal obligation to which that person is subject;
- is against the school's Contract Procedure Rules, Financial Procedure Rules or other policies;
- falls below established standards or practice;
- amounts to improper conduct, including serious misuse or abuse of authority;
- a miscarriage of justice has occurred, is occurring or is likely to occur;
- the health and safety of any individual had been, is being or is likely to be endangered;
- the environment has been, is being or is likely to be damaged
- mismanagement of funds has occurred, is occurring or is likely to occur; or
- the information tending to show any of the above is being or is likely to be concealed.

If your concern is regarding;

- The safeguarding of children or young people, please contact the relevant team for your area:
  - Leeds City Council Children's Social Work Services 0113 222 4403 during office hours and the Social Care Emergency Duty team on 0113 240 9536 out of normal office hours.
  - Kirklees Safeguarding Children Board Duty and Advice Team 01484 456848 or Kirklees Emergency Duty Service 01484 414933 (outside office hours)
  - North Yorkshire County Council Children's Social Care 01609 780780.
- Wakefield and District Safeguarding Children Board 0345 8503 503 (Social Care Direct). Suspected Benefits Fraud it should be reported to Benefit Fraud by phoning DWP National Benefit Fraud helpline 0800 854 440 or by visiting the website on [www.gov.uk/report-benefit-fraud](http://www.gov.uk/report-benefit-fraud) or writing to NBFH, PO Box 224, Preston, PR1 1GP.

## **Safeguards**

### Protection from reprisal

- 1.8 The Trust recognises that the decision to report a concern can be a difficult one to make, not least because of the fear of reprisal from those responsible for the malpractice. The Trust will not tolerate harassment or victimisation and will take action to protect you when you raise a concern which you have reasonable belief to be true and to be in the public interest. This will include disciplinary action against those that subject an individual to detriment as a result of the whistleblowing. This does not necessarily mean that if you are already the subject of procedures such as disciplinary, improving performance, grievance or managing attendance, that those procedures will be halted as a result of your whistleblowing.
- 1.9 Where feasible, you will be contacted when your concern has been investigated to ascertain whether you have suffered any detriment as a result of your

whistleblowing. If at any time, either during or after the investigation, you feel that you have suffered any detriment as a result of your whistleblowing you should contact HR, the Head of School, the Executive Headteacher, the Chair of the Trust Board or your union.

### Confidentiality

- 1.10 The Trust treats the details of all whistleblowers in confidence and will not intentionally divulge your identity without your consent. However, it must be appreciated that the investigation process may reveal the source of the information without us revealing your identity directly, and in some circumstances a statement by you may be required as part of the evidence.

### Anonymous allegations

- 1.11 Allegations can be made anonymously. However, this policy encourages you to provide your name and contact details when making your allegation, as concerns expressed anonymously are often much more difficult to investigate. For example, we may need to contact you to obtain further information or verify the details you have already given us.

- 1.12 Anonymous allegations will be considered wherever possible at the discretion of the school. The factors to be taken into account when determining whether an investigation in such a case can proceed would include:

- the seriousness of the issues raised
- the credibility of the concern; and
- the likelihood of confirming the allegation from other, attributable sources

### Untrue allegations

- 1.13 If you make an allegation in the public interest and which you had a reason to believe was true, but it is not confirmed by the investigation, no action will be taken against you. If, however, you make malicious or vexatious allegations which you could not have reasonably believed was true, appropriate action may be taken against you including disciplinary action.

## **2. Main Legislative and National Guidance and other relevant documents**

Public Interest Disclosure Act 1998  
Data Protection Act (1998)  
Freedom of Information Act (2000)  
Employment legislation  
Employment policies  
The Enterprise and Regulatory Reform Act 2013

### **3. Procedures**

#### How to raise a concern

- 3.1 It is important that you feel comfortable in raising legitimate concerns in the public interest, as this provides the Trust with an opportunity to address the associated issues as early as possible. The earlier you express the concern, the easier it is to take action. Over time these details can be forgotten, or remembered incorrectly, which can make a concern more difficult to investigate and so it would help us if you make a note of your concerns at the time and let us know about them as soon as possible.
- 3.2 As a first step, you should normally raise concerns with your line manager or the Head of School. This depends, however, on the seriousness and sensitivity of the issues involved and who is thought to be involved in the malpractice. For example, if you believe that management is involved, you should contact the Executive Headteacher, the Chair of the Trust Board or.
- 3.3 The HR Team can give advice and guidance on how matters of concern can be pursued.
- 3.4 You may invite your trade union or professional association to raise a matter on your behalf.
- 3.5 Concerns may be raised in writing and/or by email to the following. All referrals made will be treated in the strictest of confidence.
- Your line manager
  - Head of School
  - Chair of the Trust Board
  - The HR Team
- 3.6 Although you are not expected to prove an allegation, you will need to demonstrate that there are sufficient grounds for your concern. It would be useful to provide relevant information including, where possible, such as:
- The background and history to the case;
  - The reason why you are particularly concerned
  - Any specific details available including names, dates, times and places;
  - Details of any particular conversations that support the concerns;
  - Details any personal interest that you may have in the matter; and
  - How you think that things may be put right, if possible.

If you are concerned about reporting an issue within your academy or the Trust you may wish to seek advice from the bodies listed in 3.17 of this policy.

- 3.7 If a concern is discussed with someone directly involved then it creates an opportunity for evidence to be tampered with or removed. Concerns should not be discussed with others who are not involved in an appropriately structured investigation. Doing so may leave you open to accusations of making slanderous or libellous comments should your concerns be unfounded, as these could damage the reputation of individuals and the school even if there is no evidence of any wrongdoing.
- 3.8 There are special rules surrounding the gathering of evidence. Any attempt to gather evidence by people who are unfamiliar with these rules may adversely affect the outcome of the case as evidence has to be collected in accordance with current legislation. This is of particular importance in regard to surveillance. If you are at all unsure about the gathering of evidence, advice is available from HR

#### How the Trust will respond

- 3.9 The action taken by the Trust will depend on the nature of the concern. The matters raised may:
- be investigated internally, (this is the most likely option)
  - be referred to the police
  - be referred to the external auditor
  - form the subject of an independent inquiry
  - or any combination of the above
- 3.10 In order to protect individuals and the Trust, initial enquiries will be made to decide whether an investigation is appropriate and, if so, what form it should take. Concerns or allegations that fall within the scope of specific procedures (for example, child protection or discrimination issues) will normally be referred for consideration under those procedures.
- 3.11 Some concerns may be resolved by agreed action without the need for investigation.
- 3.12 Within ten working days of a concern being received, where appropriate, the school will write to you:
- acknowledging that the concern has been received
  - indicating how it proposes to deal with the matter (including potential timescales)
  - telling you whether any initial enquiries have been made; and
  - telling you whether further investigations will take place and, if not, why not.
- 3.13 The amount of contact between the person(s) considering the issues and you, will depend on the nature of the matters raised, the potential difficulties involved

and the clarity of the information provided. If necessary, further information will be sought from you.

- 3.14 When any meeting is arranged, you have the right, if you wish, to be accompanied by a union or professional association representative or a colleague who is not involved in the area of work to which the concern relates.
- 3.15 The Trust will take steps to minimise any difficulties that you may experience as a result of raising a concern. For instance, if you are required to give evidence in criminal or disciplinary proceedings, the Trust will advise you about the procedure.
- 3.16 The Trust acknowledges the need to provide you with assurance that the matter has been properly addressed. Thus, subject to legal constraints, you will receive appropriate information about the extent and outcomes of any investigations.

#### How the matter can be taken further

- 3.17 This policy is intended to provide you with an avenue to raise concerns within the organisation. The Trust hopes you will be satisfied. If you are not, and if you feel it is right to take the matter further, the following are possible contact points.
  - Relevant professional bodies or regulatory organisations
  - Your union
  - The Health and Safety Executive (HSE)
  - The Information Commissioner
  - Your local Council Member as follows:
    - Leeds Council Members details are available from Members Service (0113) 2243206 or on the internet at <http://democracy.leeds.gov.uk>
    - Kirklees Council Members details can be found at <https://democracy.kirklees.gov.uk/mgMemberIndex.aspx>
    - North Yorkshire County Council Members details can be found at <https://www.northyorks.gov.uk/councillors>
    - Wakefield County Council Members details can be found at <http://www.wakefield.gov.uk/councillors-and-mayor/district-councillors>
  - The Citizens Advice Bureau
  - Your solicitor
  - The police
  - Your Member of Parliament
  - Public Concern at Work 020 7404 6609 [www.whistle@pcaw.org.uk](http://www.whistle@pcaw.org.uk) (independent charity that provides free advice for employees who wish to express concerns about fraud or other serious malpractice)
  - The Department for Business, Enterprise and Regulatory Reform ([www.berr.gov.uk](http://www.berr.gov.uk))
  - The Local Authority Designated Officer

- Leeds Safeguarding Children Board (LSCB) on 0113 3786018 or at leeds@lscb.leeds.gov.uk. Or visit their offices at 2 Great George Street, Leeds LS2 8BA
- Ofsted
- The Department for Education
- The Education Funding Agency
- Her Majesty's Revenues and Customs (HMRC)

3.18 If you do take the matter outside the Trust, you will need to ensure that you do not disclose confidential information or that disclosure would be privileged. If you have any queries as to what constitutes confidential information, please check with the HR Team about this.

#### Support for whistleblowers

3.19 Everything possible will be done to manage employment relationships following a genuine and reasonable concern being raised.

## **4. Roles and Responsibilities**

### The Trust Board

The Trust Board has overall responsibility for:

- maintaining and operating the policy;
- review of the policy;
- annual report on the effectiveness of the policy;
- approving amendments to the policy;
- promoting the policy periodically;
- maintaining a record of concerns raised and the outcomes (but in a form that does not endanger your confidentiality) and will report as necessary to the HR Team.

### Managers/Leaders

To undertake investigations without undue delay.  
To maintain confidentiality.

### Employees

To raise issues of concern responsibly.

### Others

As an employee of a contractor of the Trust or a partner organisation, it is recognised that in some cases, you may have concerns which you may want to bring to our attention. The Trust is committed to encouraging all individuals, including non-Trust employees to raise serious concerns with the organisation. Whilst we would seek always to protect the anonymity of individuals raising concerns in good faith, the Whistleblowing Policy does not protect employees of external organisations in the same way as Trust employees.

## **5. Equality Impact Assessment**

The equality impact assessment of this policy is wholly positive as the policy supports the opportunity to address equality and diversity issues.